UTILITY PATENT APPLICATION TRANSMITTAL

October 27, 2003 Attorney Docket No. 501328.01

First Inventor or Application Identifier

Ralph James

Title

SYSTEM AND METHOD FOR USING A LEARNING SEQUENCE TO **ESTABLISH COMMUNICATIONS ON A HIGH-SPEED** NONSYNCHRONOUS INTERFACE IN THE ABSENCE OF CLOCK **FORWARDING**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Express Mail Label No.

EL 646070358 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

1.	X	Fee Transmittal Sh (Submit an original an	eet (+ copy) nd a duplicate for fee proce	essing)	•		
2.		Applicant(s) Claim(s) Small Entity Status					
3.	X	Specification	[Total Pages]	43			

- CD-ROM or CD-R in duplicate, large table or Computer 7. Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission 8.
- (preferred arrangement set forth below)
- (if applicable, all necessary) Computer-Readable Form (CRF)

- Descriptive Title of the Invention

- a. Specification Sequence Listing on:
- Cross References to Related Applications - Statement Regarding Fed sponsored R & D

i. [] CD-ROM or CD-R (2 copies); or ii. [] paper

Power of Attorney

Copies of IDS Citations

- Reference to Microfiche Appendix

Statement verifying identity of above copies C.

- Background of the Invention

- ACCOMPANYING APPLICATION PARTS Certification Under 35 U.S.C. 122(b)(2)(B)(i) 9.
- Brief Summary of the Invention - Brief Description of the Drawings (if filed)
- Assignment Papers (cover sheet & document(s)) 10.
- Detailed Description - Claim(s) - Abstract of the Disclosure

Drawing(s) (35 USC 113) [Total Sheets]

- 37 CFR 3.73(b) Statement 11.
- (when there is an assignee)
- 12.

6

- English Translation Document (if applicable)
- [Total Pages] Oath or Declaration 1 X Newly executed (original or copy) a.
- **Preliminary Amendment** Total Pages _ 13.
- Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
- Return Receipt Postcard 14.
- DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Information Disclosure 15. Statement (IDS)/PTO-1449
- Application Data Sheet (37 C.F.R. 1.76) 6. X
- Certified Copy of Priority Document(s) 16. (if foreign priority is claimed)
- 17.
- Other: Certificate of Express Mail Check

CORRESPONDENCE ADDRESS

Edward W. Bulchis, Esq.

Dorsey & Whitney LLP

1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax Customer No. 27,076

TYPED or PRINTED NAME

4.

5.

b.

Edward W. Bulchis

REGISTRATION NO.

SIGNATURE

27,2003 Date

				Comp	lete if Known	
	Express Mail No. EL 64		EL 6460	070358 US		
				Concurr		
FEE TRANSMITTAL SHEET				Ralph James		
(FOR FY 2004)			Not Yet Assigned			
	Examiner Not Yet Assigned					
	Atty. Do	Atty. Docket Number 501328.01			.01	
METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)					
. [X] The Commissioner is hereby authorized to charge any	3. ADDI	TIONAL	FEES			
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and	Large E	-	Small I	-		
.136(a)(3) and credit any over payments to Deposit Account lo.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
	1051	130	2051	65	Surcharge - Late filing fee or oath	\$
[X] Check Enclosed	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
FEE CALCULATION	1053	130	1053	130	Non-English specification	\$
BASIC FILING FEE	1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$
Large Entity Small Entity	1804	920	1804	920	Requesting publication of SIR prior	\$
Fee Fee Fee Fee Description <u>Code (\$) Code (\$)</u>	1805	1,840	1805	1,840	to Examiner action Requesting publication of SIR after Examiner action	\$
1001 770 2001 385 [X] Utility Filing Fee	1251	110	2251	55	Extension for reply within first month	\$
1002 340 2002 170 [] Design Filing Fee	1252	420	2252	210	Extension for reply within 2 nd month	\$
1003 530 2003 265 [] Plant Filing Fee	1253	950	2253	475	Extension for reply within 3 rd month	\$
1004 770 2004 385 [] Reissue Filing Fee	1254	1,480	2254	740	Extension for reply within 4 th month	\$
1005 160 2005 80 [] Provisional Filing Fee	1255	2,010	2255	1,005	Extension for reply within 5 th month	\$
Andrew and the state of the sta	1401	330	2401	165	Notice of Appeal	\$
Subtotal (1) \$770	1402	330	2402	165	Filing a brief in support of an appeal	\$
EXTRA CLAIM FEES	1403	290	2403	145	Request for oral hearing	\$
Current Claims Prior Extra Fee Fee Paid	1814	110	2814	55	Terminal Disclaimer Fee	\$
Total 57 20 = 37 × \$18 = \$666		110	2452	55	Petition to revive – unavoidable	\$
Ind. 5 - 3 = 2 x \$86 = \$172	1452		2453	665	Petition to revive – unintentional	\$
Multiple Dependent Claims x \$ = \$	1453	1,330		665	Utility/Reissue issue fee	\$
Subtotal (2) <u>\$838</u>	1501	1,330	2501	240	Design issue fee	\$
Large Entity Small Entity	1502	480	2502	320	Plant issue fee	
Fee Fee Fee Fee Description	1503	640 130	2503 1460	-	Petitions to the Commissioner	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1460	130	1807	50	Processing fee under 37 CFR 1.17(q)	
1201 86 2201 43 Independent claims in excess of 3	1807	180	1806	180	Submission of IDS	
1203 290 2203 145 Multiple dependent Claim	1806	100	1000		Recording each patent assignment	
Reissue independent claims over	8021	40	8021	40	per property (times number of properties)	\$40
original patent Reissue claims in excess of 20 and	1801	770	2801	385	Request for Continued Examination (RCE)	:
over original patent	Other	₃ fee (spe	cify)	e	\·/	:
					Subtotal (3)	\$4
					Total Amount of Daymonts	\$1648
					Total Amount of Payment:	Φ1040

Submitt d by:		
Name: Edward W. Bulchis	Reg. No.: 26,847	Telephone: (206) 903-8800
Signature:	101/ Kulik	Date: October 27, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ralph James

Attorney Docket No.: 501328.01

Filed

: October 27, 2003

Title

: SYSTEM AND METHOD FOR USING A LEARNING SEQUENCE TO ESTABLISH COMMUNICATIONS ON A HIGH-SPEED NONSYNCHRONOUS INTERFACE IN

THE ABSENCE OF CLOCK FORWARDING

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 646070358 US, on October 27, 2003, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

DORSEY & WHITNEY LLP

/ for West Courier

EWB:dms

Enclosures:

Postcard

Check

PTO/SB/05 Utility Patent Application Transmittal

Application Data Sheet

Fee Transmittal Sheet (+ copy)

General Authorization

Specification, Claims, Abstract (43 pages)

6 Sheets of Drawings (Figures 1-6)

Declaration

Election and Power of Attorney

Form PTO-1595

Assignment

Information Disclosure Statement

Form PTO-1449

EXPRESS MAIL NO.: EL646070358US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ralph James

Attorney Docket No.: 501328.01

Filed

: Concurrently Herewith

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Title

: SYSTEM AND METHOD FOR USING A LEARNING SEQUENCE TO ESTABLISH

COMMUNICATIONS ON A HIGH-SPEED NONSYNCHRONOUS INTERFACE IN

THE ABSENCE OF CLOCK FORWARDING

GENERAL AUTHORIZATION

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

With respect to the above-identified application, the Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required and to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time and to charge any fees which may be required during the course of prosecution, or credit any overpayment, to Deposit Account No. 50-1266.

Date October 27. 2003

Edward W. Bulchis

Registration No. 26,847

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